



Institut des  
Nanotechnologies  
de Lyon UMR 5270



# Functionalized surfaces for the detection of circulating tumor cells (CTC) and bacteria

## 2- Surface functionalization strategies for CTC detection and analysis

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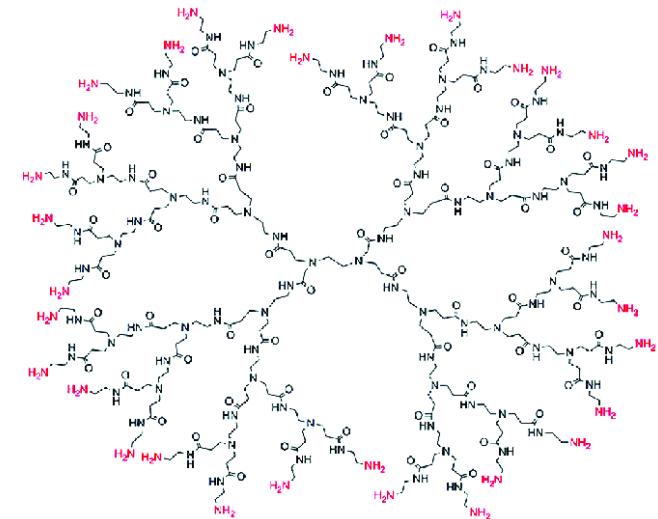
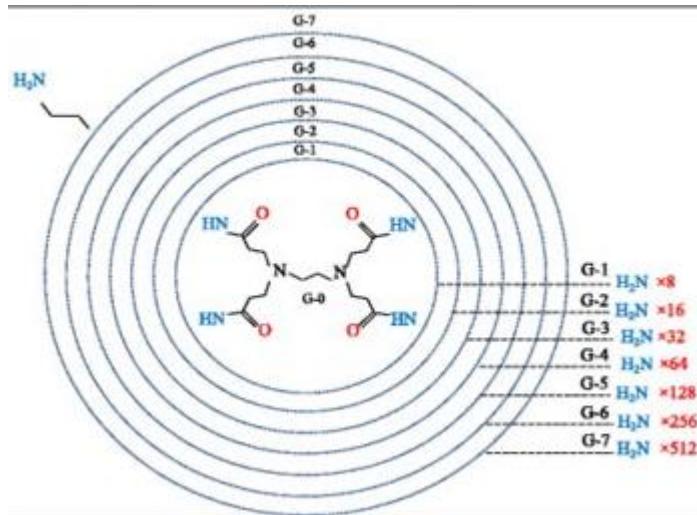


# Challenges for CTC detection

- ☞ The discovery of CTC as a liquid biopsy had a revolutionary effect on early diagnosis, metastasis detection and personalized tumor treatment
  - ☒ Use to detect the biological characteristic and molecular type of primary tumor cells
  - ☒ Understanding their part in the metastasis may contribute to better therapeutic management
- ☞ Challenges:
  - ☒ Rare: 1-100 CTC with  $10^6$ - $10^8$  RBC /mL
  - ☒ Heterogenous with variation in the expression of surface biomarkers
  - ☒ Non destructive capture and detection methods for subsequent analysis : image analysis, cell culture, drug screening
- ☞ Selective capture of all CTC
- ☞ Release from surfaces without damaging the target cells
- ☞ Detection method for infinitesimally concentration in the blood stream

# Non fouling surfaces

- ☞ One major problem for CTC detection device: non specific adsorption
  - ☒ depends on hydrophilicity/hydrophobicity of the surface  
optimal water contact angle for cell adhesion between 60° and 80°
  - ☒ depends on charge of the surface
  - ☒ depends on roughness of the surface
- ☞ grafting of dendrimers amino-terminated or carboxylated-terminated



PANAM- $\text{NH}_2$  G3

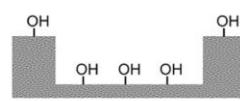
150 $\mu$ m x 50 $\mu$ m

Channel



Oxygen  
Plasma

Bonding



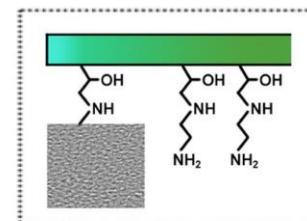
APTMS  
Treatment

APTMS grafting  
Both surfaces

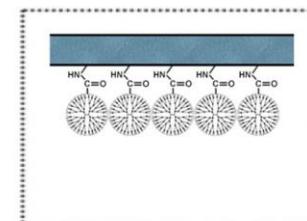


SU-8  
Binding

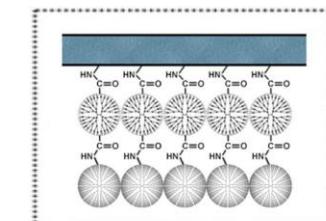
EDA grafting



PAMAM-COOH G4 grafting



PAMAM-NH<sub>2</sub> G7 grafting



PDMS

SU-8

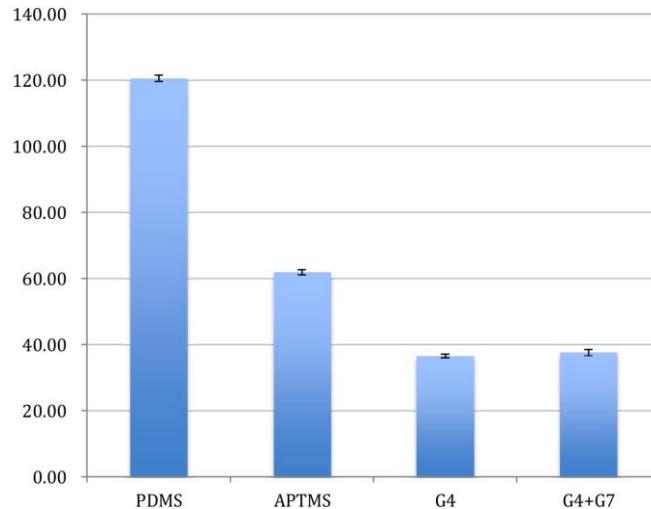
Both surfaces

Microchannel1  
Positively charged

Microchannel2  
Negatively charged

Microchannel3  
Positively charged

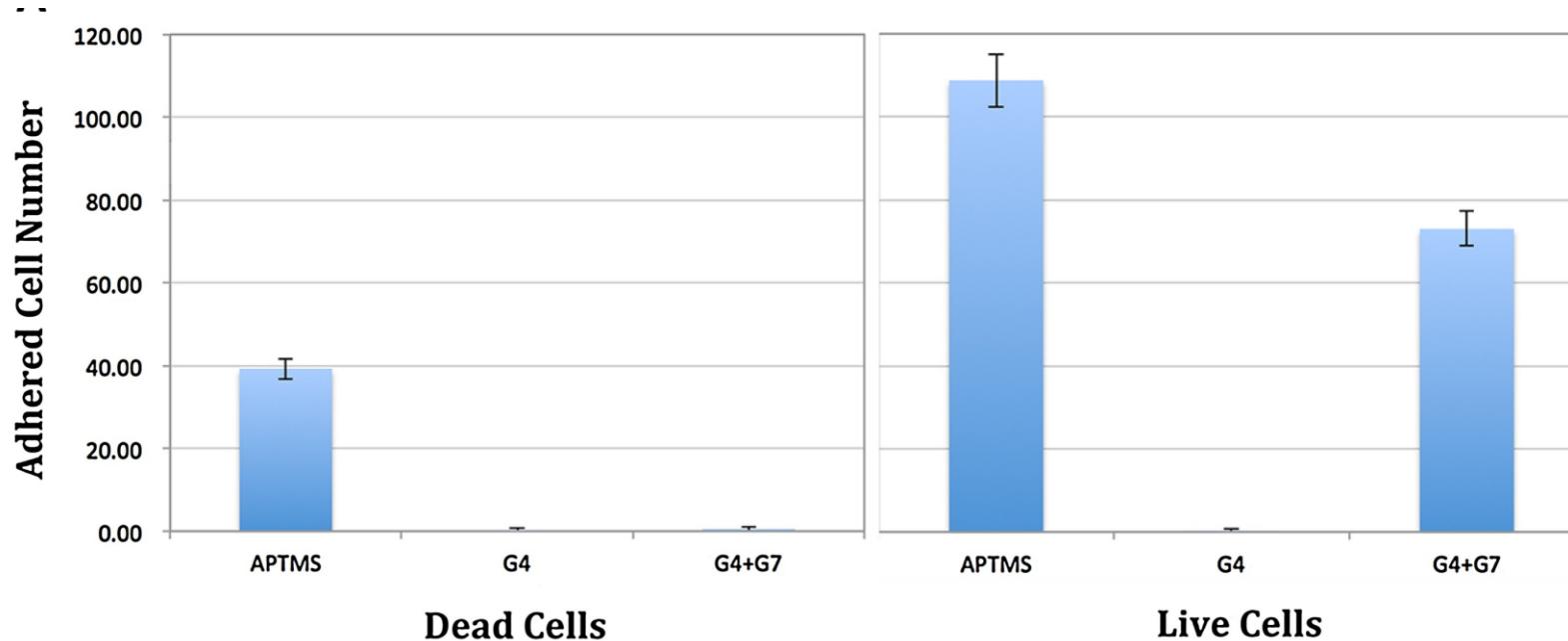
Contact Angle (°)



*Qin et al., Colloids and Surfaces B 2017*

Groups	AFM 3D Image	Vertical View	Roughness
Unmodified PDMS			$0.26 \pm 0.04$
PDMS with APTMS			$1.19 \pm 0.06$
G4			$3.62 \pm 0.23$
G4+G7			$5.88 \pm 0.33$

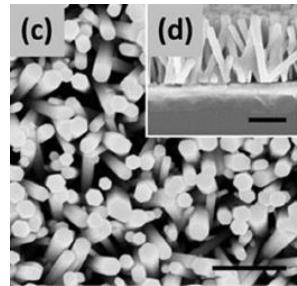
Injection of  $10^6$  CCRF-CEM cells/mL in PBS at 0.05 mL/h for 1h



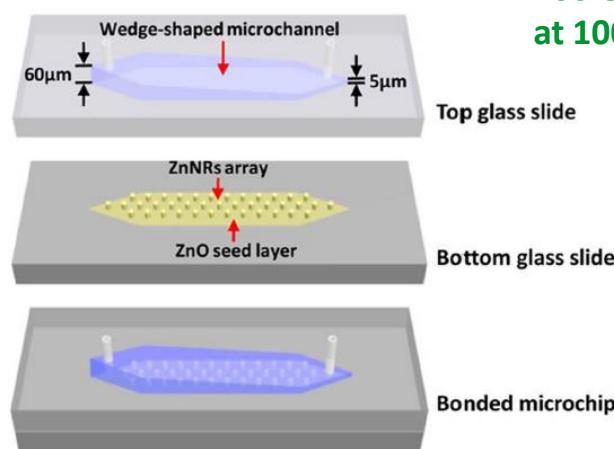
- ☒ Dead cells have no charge, Live cells are negatively charged
  - ☞ Higher influence of charge surface than roughness or hydrophilicity  
(Tests done in PBS only)

# Implementation of nanomaterials with microfluidic

Microchip with ZnO nanorods on glass slide to capture and release CTC

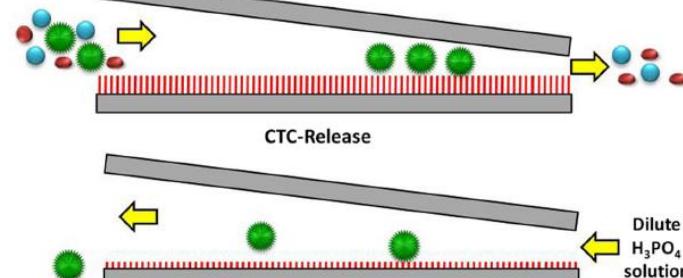


150-300nm in Dia  
2μm in Length

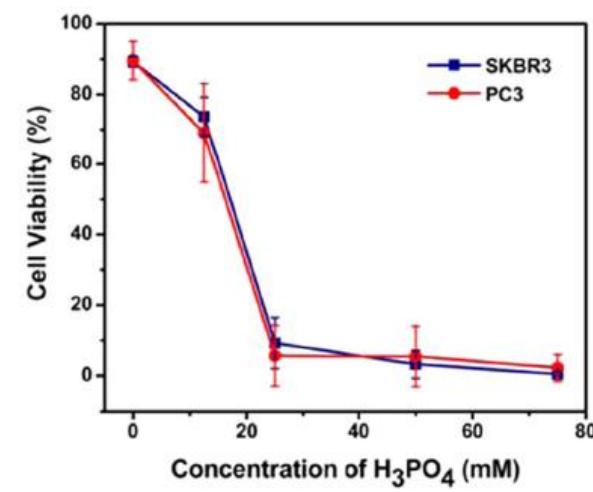
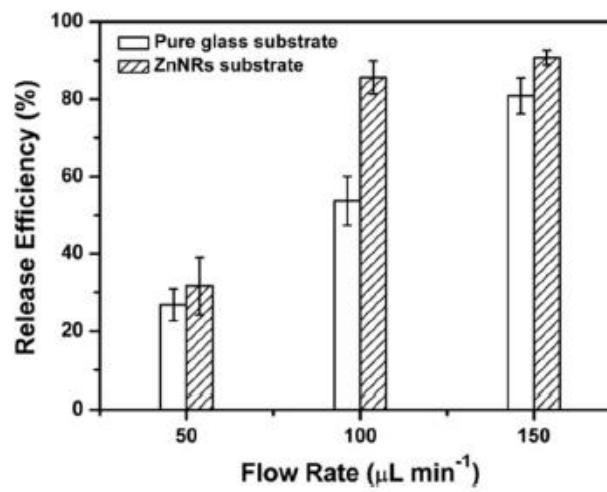
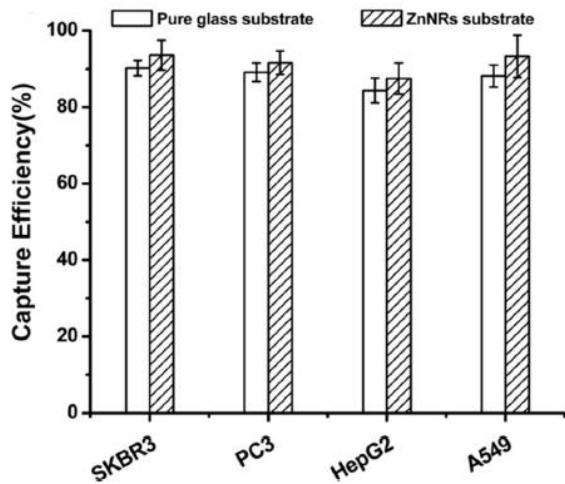


200 CTC/mL  
at 100μL/mL

CTC-Capture



12,5 mM  
at 100μL/mL



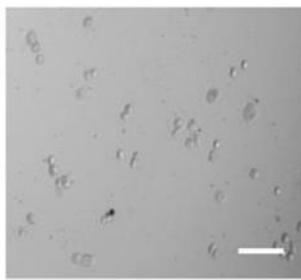
Li et al., Biomed Microdevices 2017

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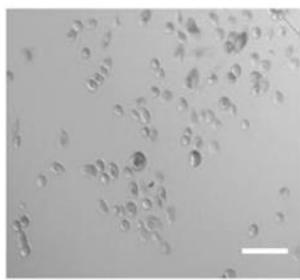
Évènement et date

<http://inl.cnrs.fr>

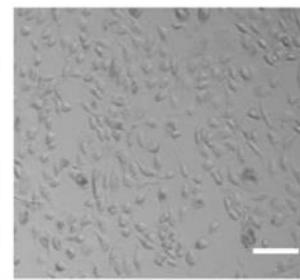
Re-culture for 1 day



Re-culture for 2 days



Re-culture for 3 days



- CTC capture efficiency  $\approx 85\%$
- CTC release efficiency  $\approx 85\%$
- CTC viability at 1 day  $\approx 70\%$
- CTC viability at 3 days  $\approx 90\%$

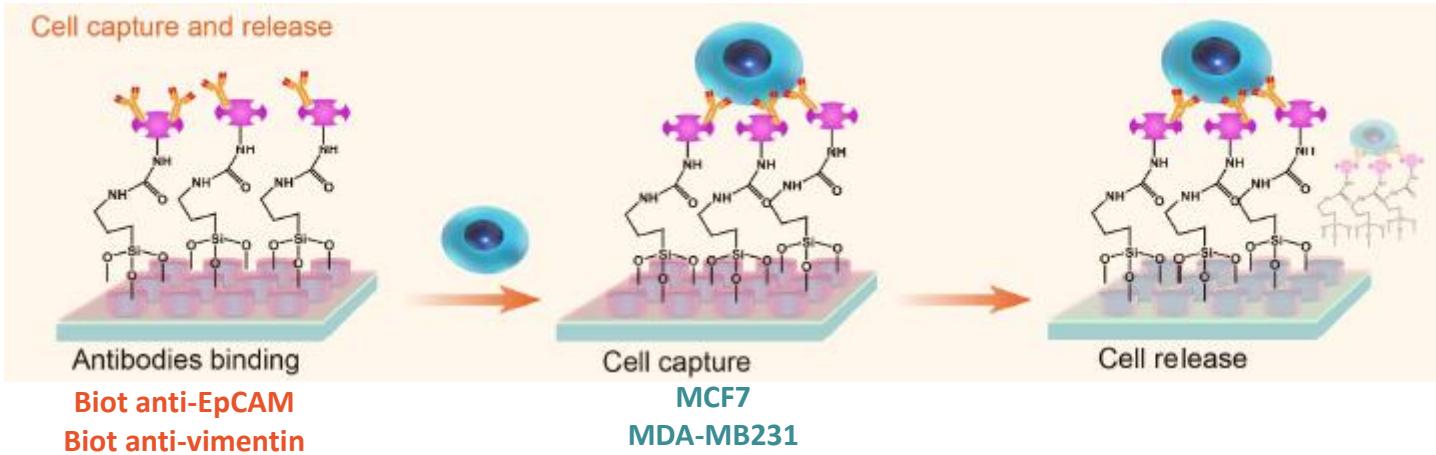
☞ What about CTC integrity?

### ☞ PDMS-based microfluidic chip with ZnO nanograss

80 $\mu\text{m}$  high  
160 $\mu\text{m}$  Dia  
260 $\mu\text{m}$  center-center pillar dist

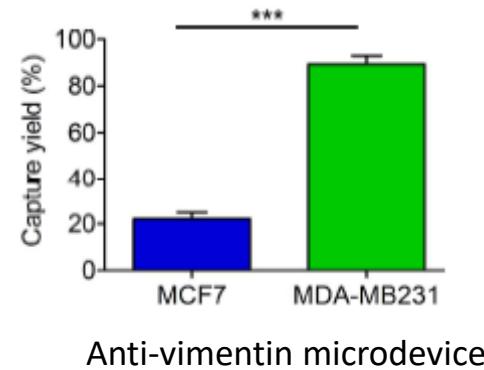
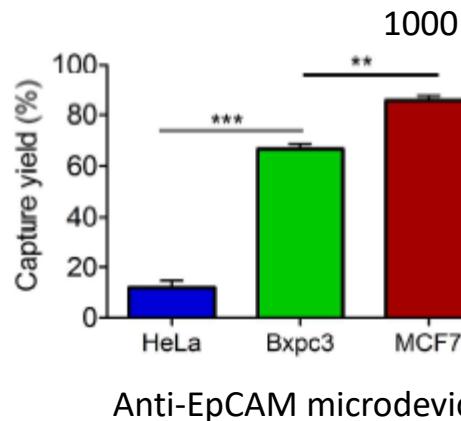


Hui et al., ACS Appl. Mater. Interfaces 2017



☒ ZnO nanograss reduce non specific adsorption of WBC

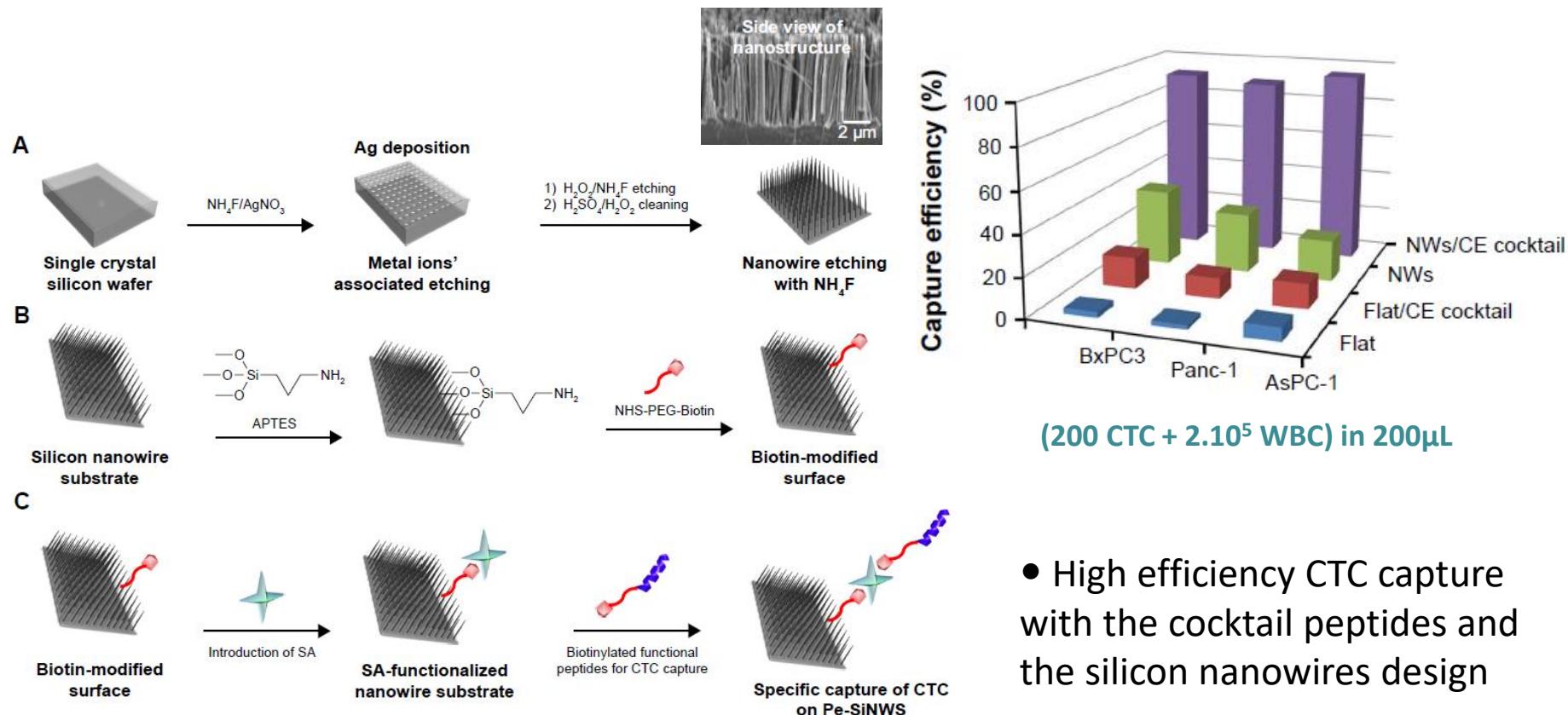
☞ EpCAM is epithelial cell biomarker; Vimentin is mesenchymal cell biomarker



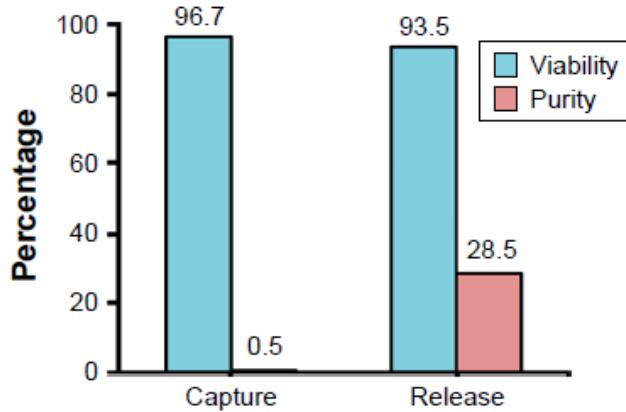
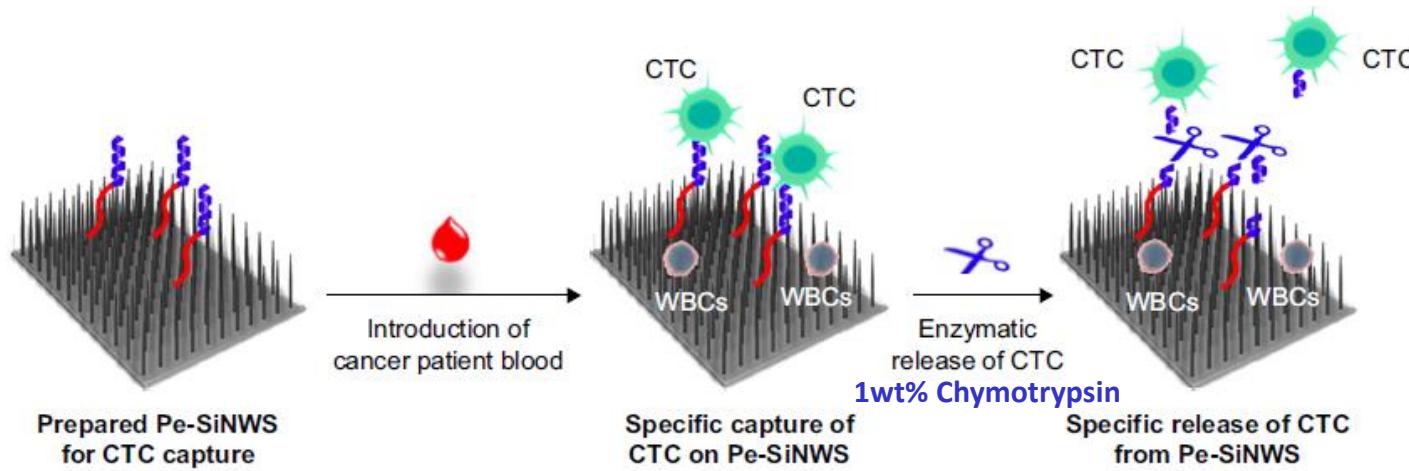
- Possibility to detach CTC from antibody functionalized ZnO nanograss by applying 0,1M HCl solution (pH5,6) with cell viability of 90%

☞ Improve pancreatic CTC capture efficiency by combining 2 specific interactions with microfluidic device

- ☒ EpCAM positive CTC with EpCAM recognition peptide (Pep)
- ☒ EpCAM negative CTC with CKAAKN peptide specific for pancreatic cancer cell



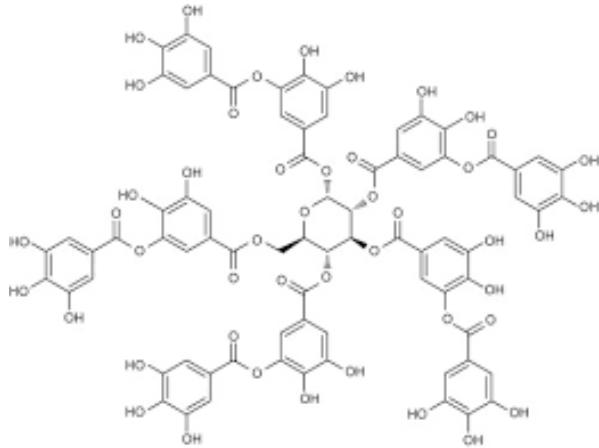
Shen et al., International J. Nanomedicine 2019



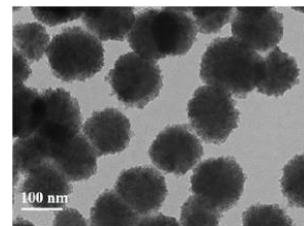
- Very good efficiency of CTC capture and release
- Very good CTC viability
- But very bad purity rate due to WBC non specific adsorption onto Si nanowires!

# Functionalization with chemical target of CTC membrane receptor

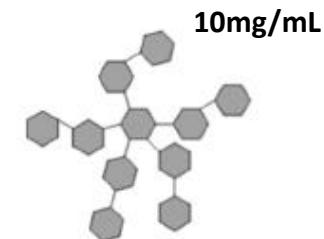
## ☞ Tannic acid on magnetic nanoparticles (MNP)



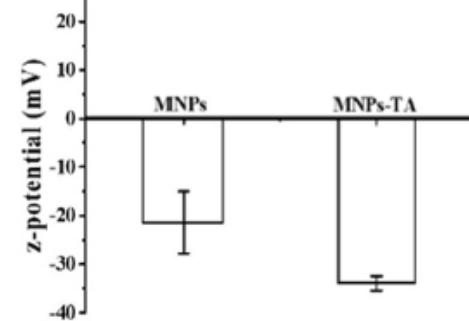
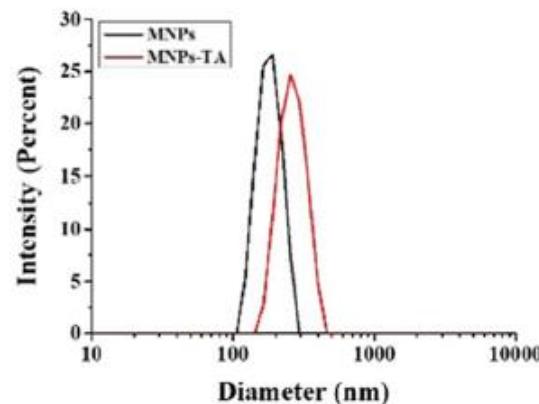
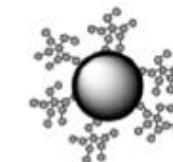
MNP =  $\text{FeCl}_3 + \text{PEG4000}$



$\approx 180\text{nm}$



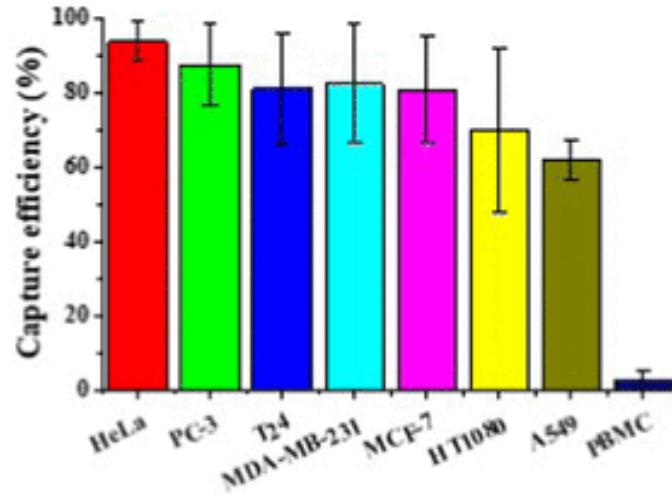
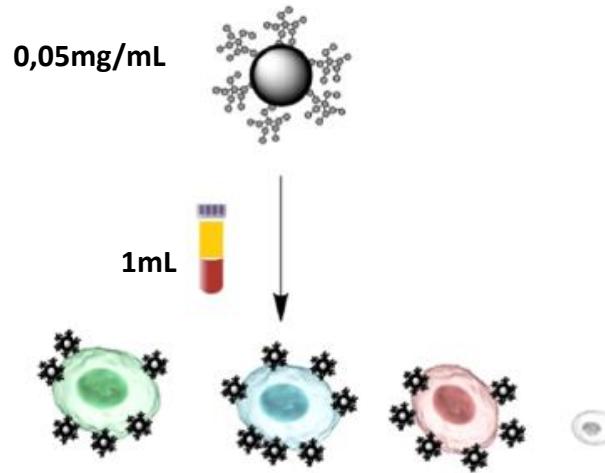
Stirring



- Interact with K residues of PKM2
- Anti-adhesion effect on WBC

☒ FTIR analysis and UV-Vis spectra confirm the coupling of TA on  $\text{Fe}_2\text{O}_3$  MNP

Ding et al., ASC Appl. Mater. Interfaces 2021

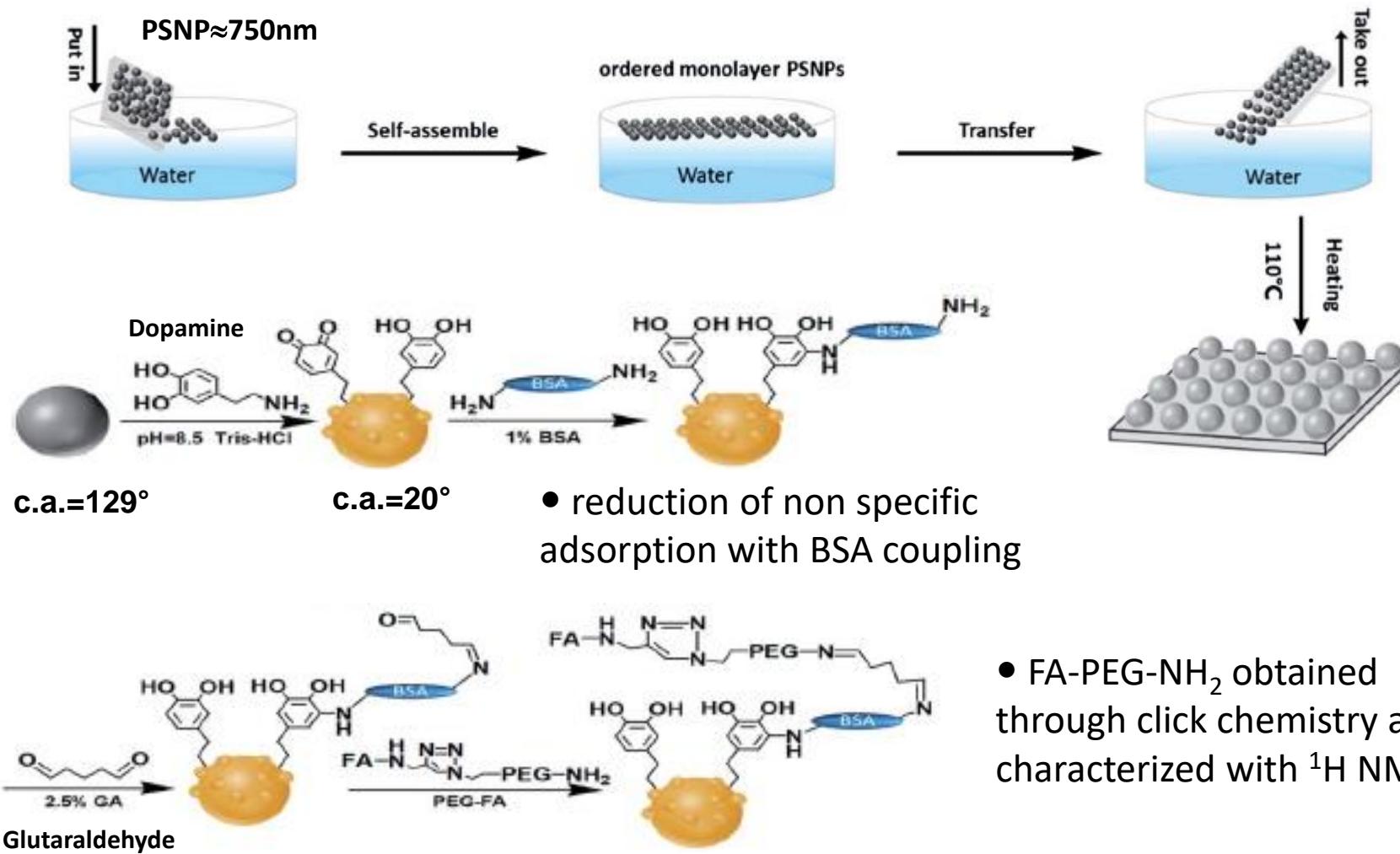


- 62-93% capture efficiency on 7 cancer cell lines

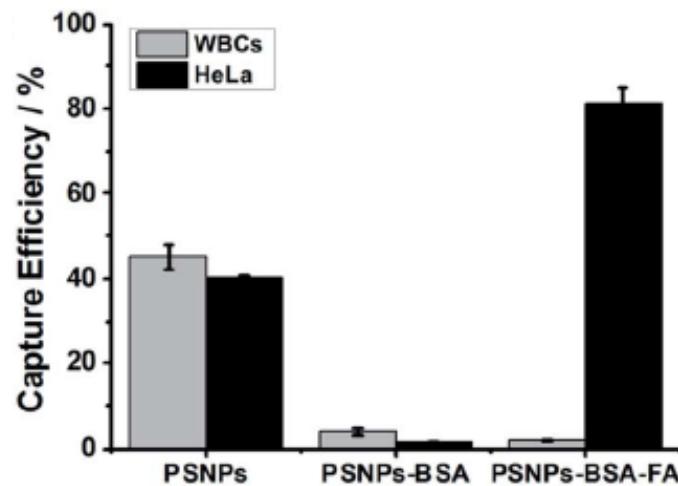
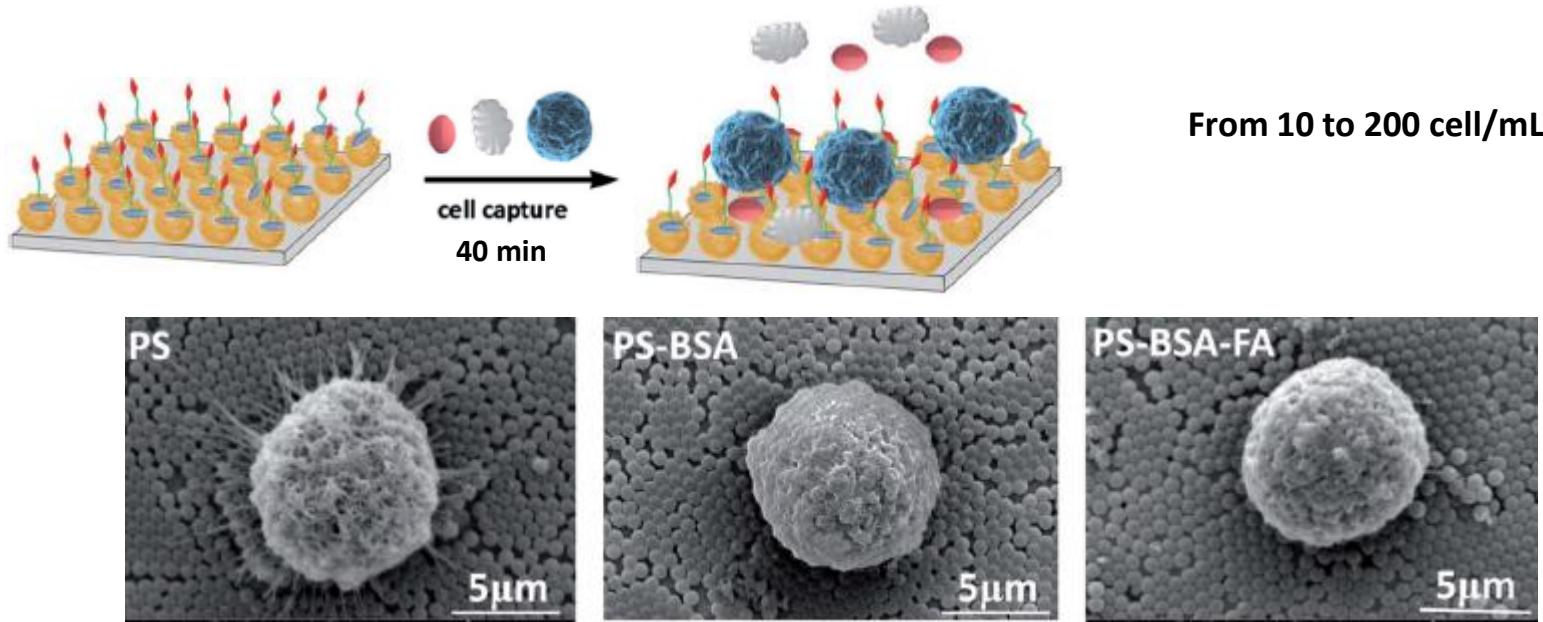
☞ CTC count in clinical samples:

- 21 cancer patients (8 type of cancers): from 1 to 10 CTC detected in 1mL blood
- 6 healthy donors: no CTC detected

## ☞ Folic acid on polystyrene nanosphere (PSNP)

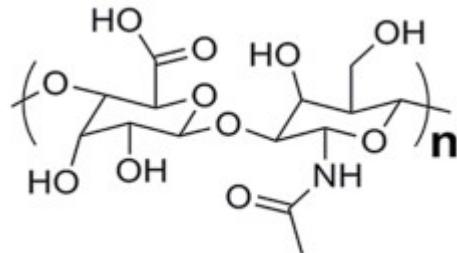


Chen et al., Anal. Methods 2019



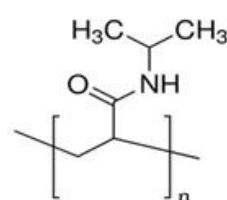
- FA-PSNP efficiently capture HeLa cell from (WBC + HeLa cell) sample
- Efficiency decrease to 40% in blood sample

## Hyaluronic acid (HA)-based microgel



- PNIPAM (poly(N-isopropylacrylamide)) is a thermoresponsive polymer

- ☒ HA is a glycan of ECM, known to promote tumor growth through interaction with CD44 cell receptor



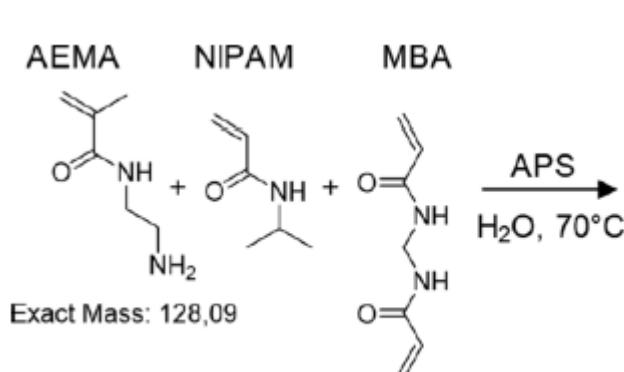
## PNIPAM Structure



Swell  
(hydrophilic interactions)

**LCST**

Shrink  
(hydrophobic interactions)

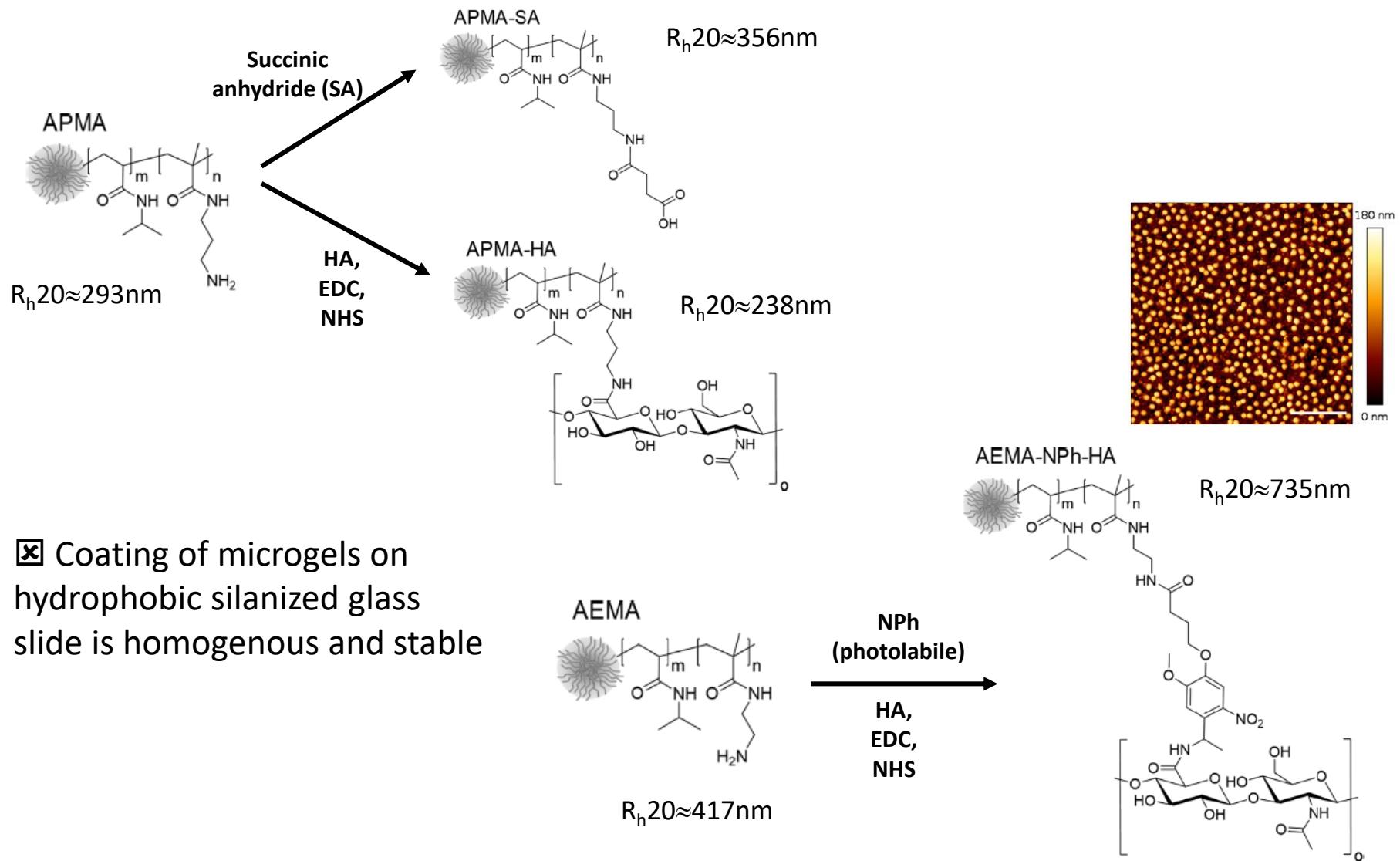


Schmidt et al., *ASC Appl. Bio Mater.* 2021

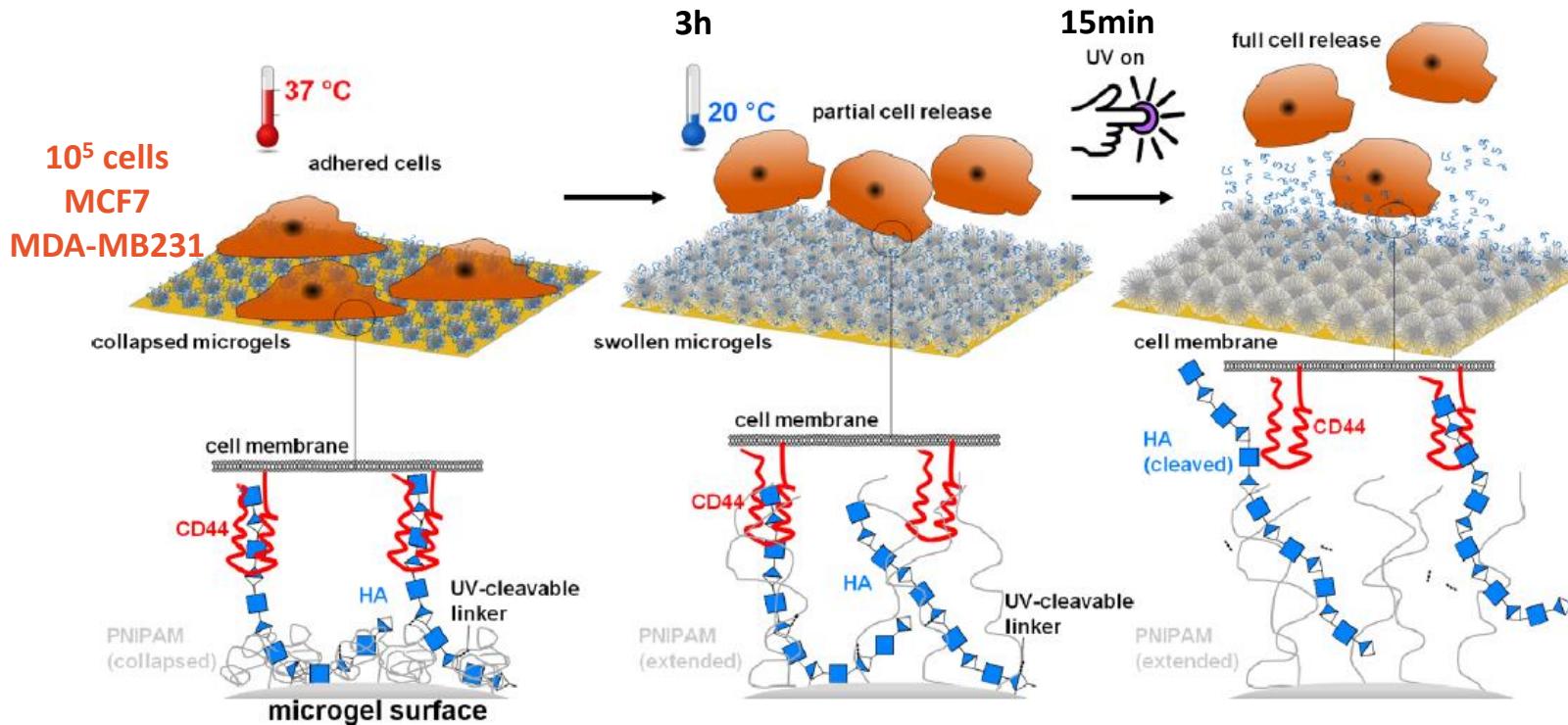
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## *Evènement et date*

<http://inl.cnrs.fr>



☒ Coating of microgels on hydrophobic silanized glass slide is homogenous and stable



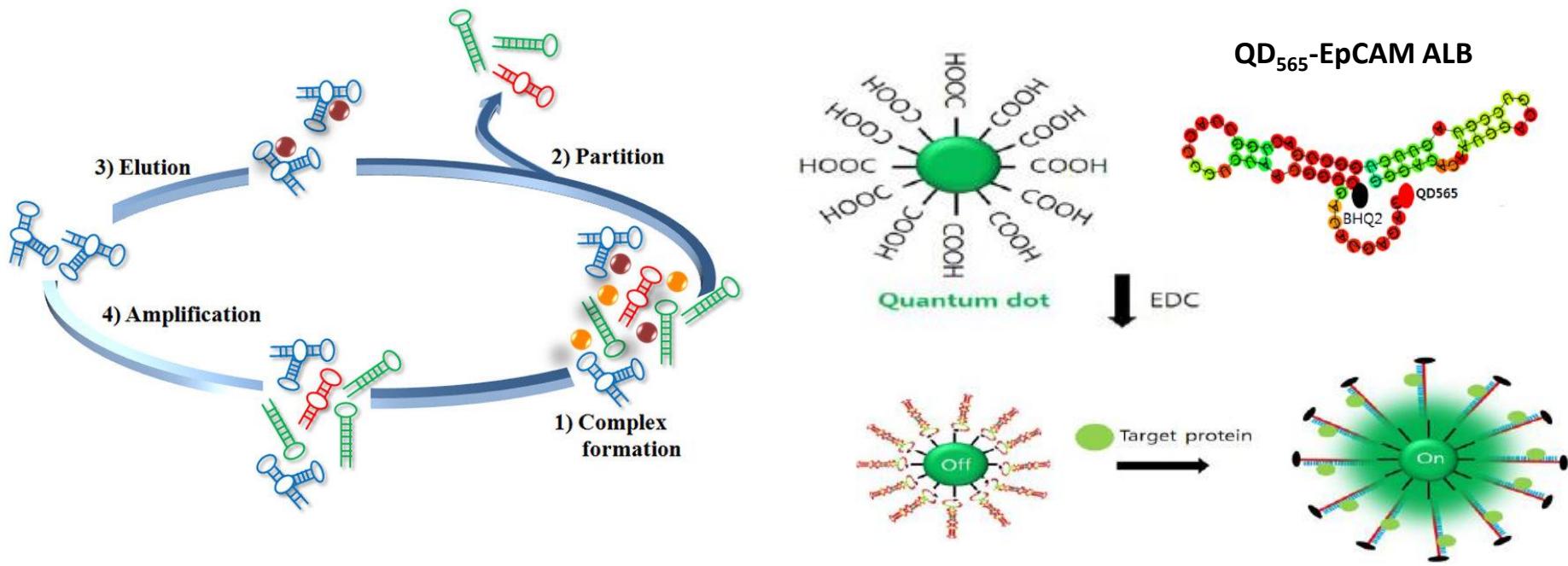
- ☒ Higher adhesion of MDA-MB-231 than MCF7 due to level of CD44 expression
- ☒ Reduced adhesion in the presence of serum proteins

- ☒ Release up to 90% of adherent cells

- ☒ Release of the remaining adherent cells

# Aptamer-based CTC detection

- ☞ Aptamer = short single strand DNA or RNA (15-100nt) interacting with various targets
- ☞ selection through SELEX (Systemic Evolution of Ligands by Exponential enrichment)

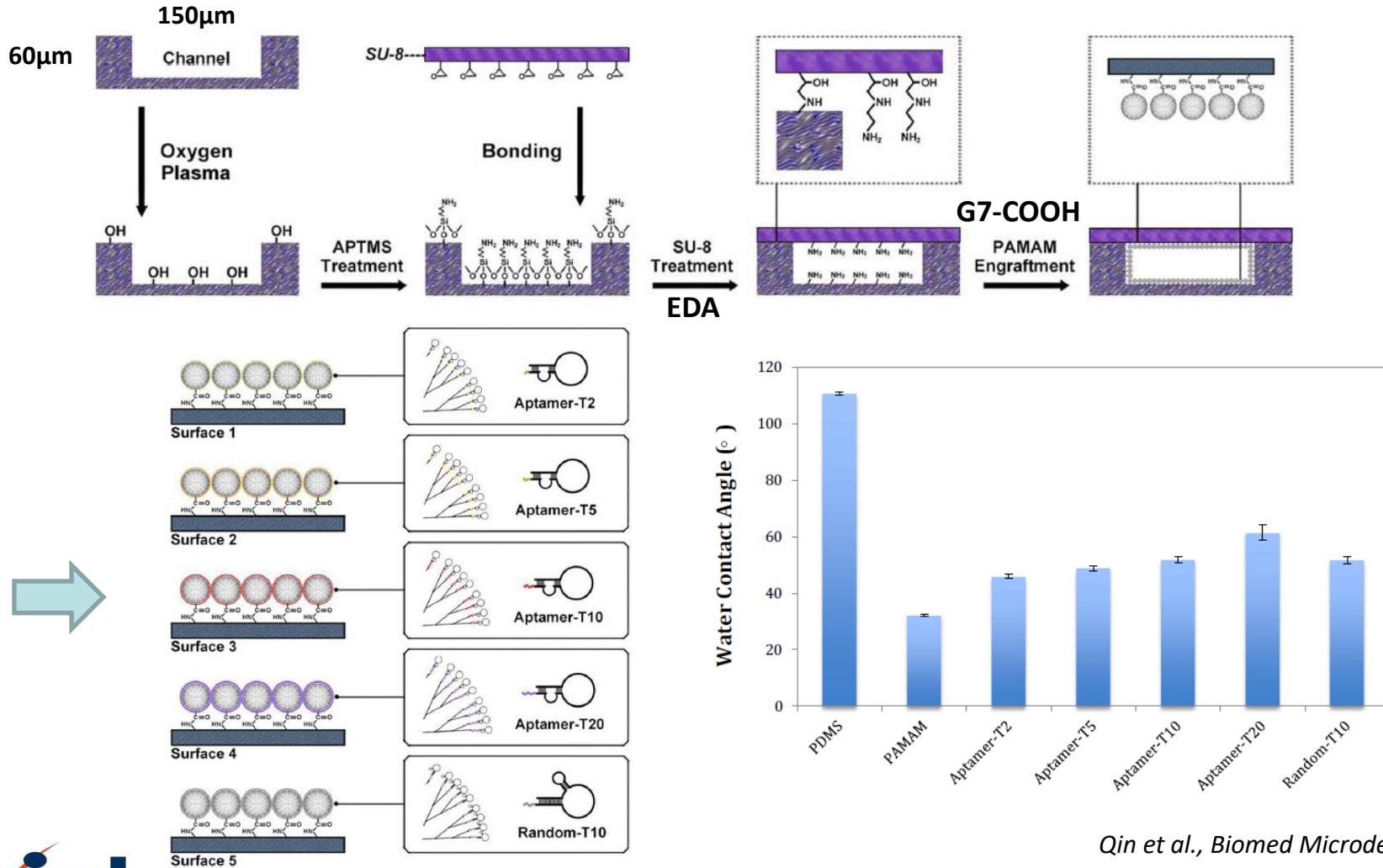


Hwang et al., Sensors 2016

- ☞ Fluorescence intensity of CTC in a dose-dependent response with EpCAM expression

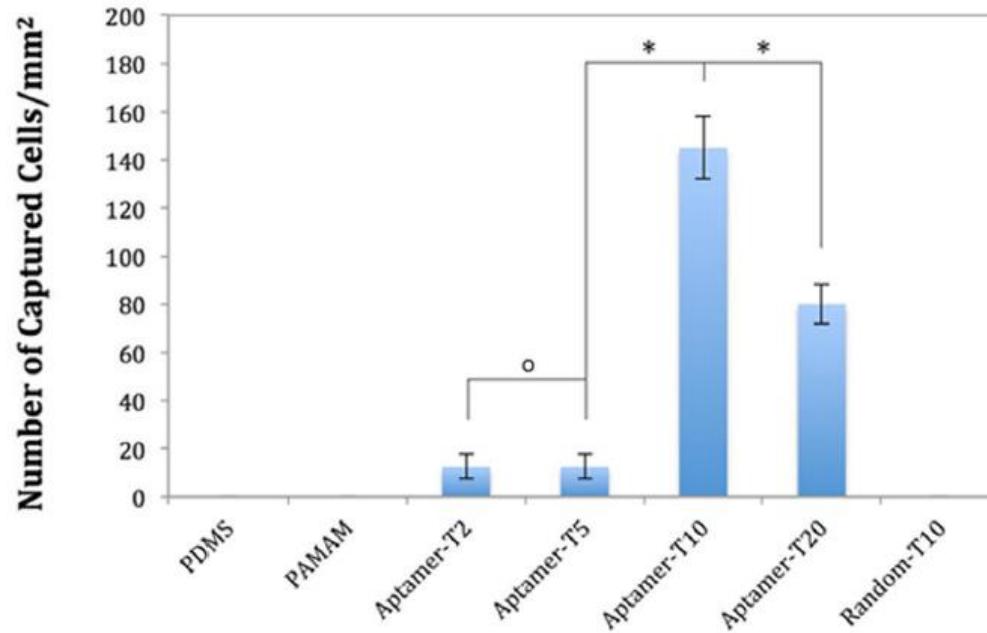
## ☞ Immobilization of aptamers into microfluidic channel

### ☒ Sgc8 aptamer specific for CCRF-CEM cells



*Qin et al., Biomed Microdevices 2019*

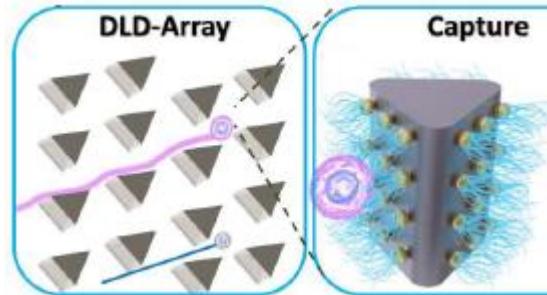
- ☒ Capturing performances of aptamer-functionalized surfaces by introducing  $10^6$  cells/mL at a flow rate of 0,05mL/h for 40min



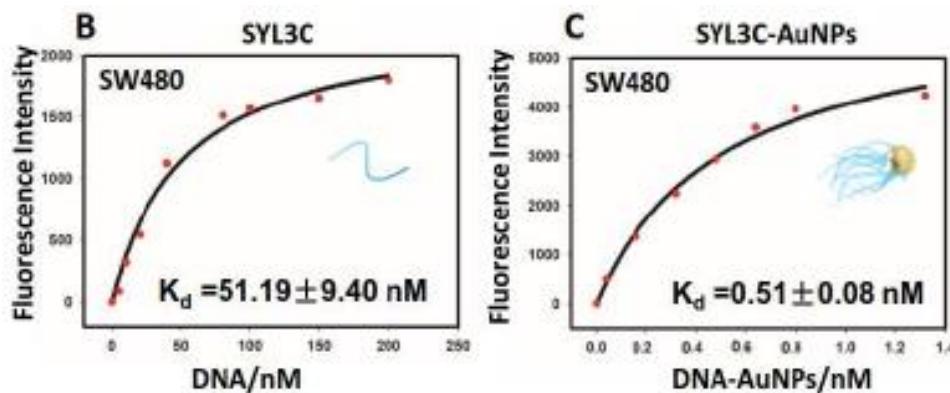
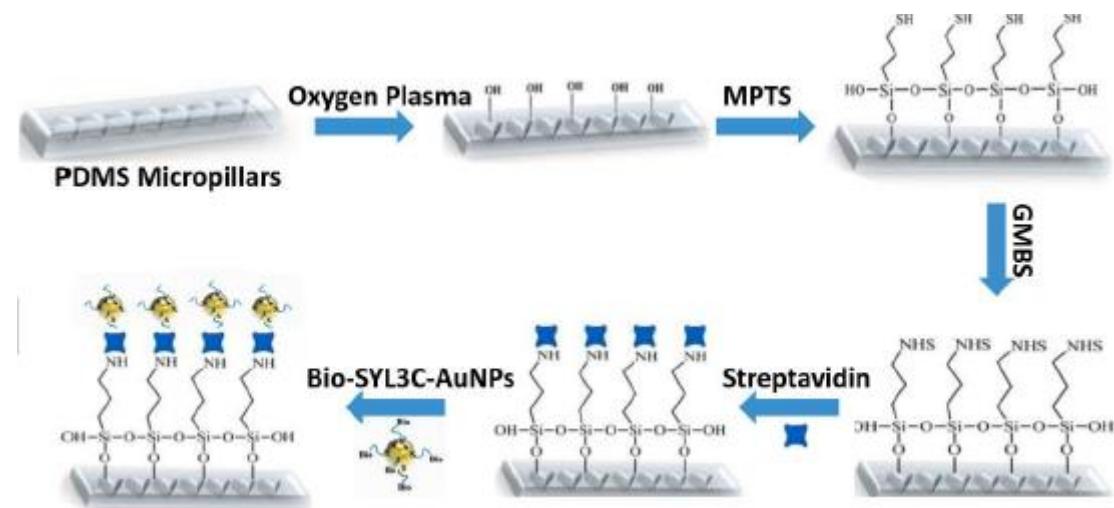
- ☞ Importance of the length of the spacer to improve cell capture and detection

## Multivalent aptamer Octopus Chip

Aptamer SYLC3 specific for EpCAM immobilized on AuNP (16nm)

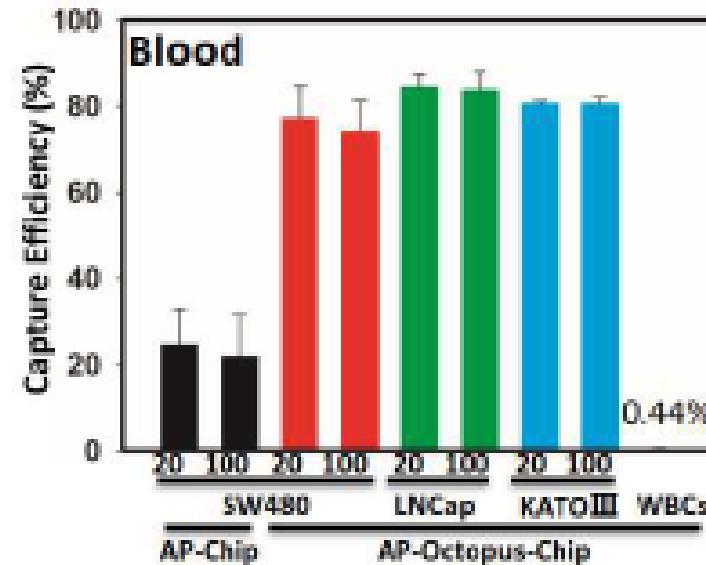
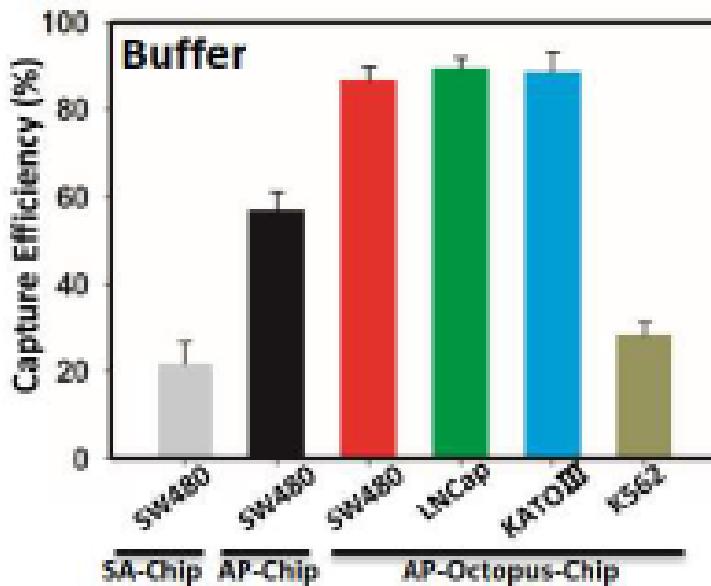


- ☒ Triangular micropillar increase contact time of CTC ( $>13\mu\text{m}$ ) in the channel



- ☒ 100 times higher affinity with multivalent SYLC3-AuNP than with SYLC3 alone

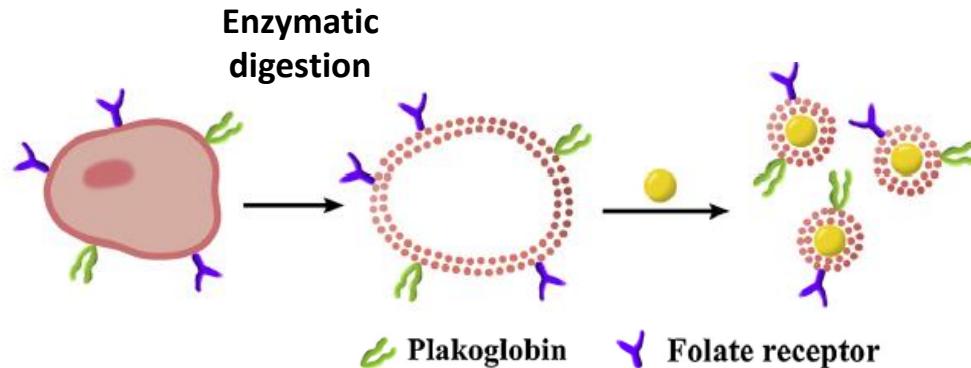
Song et al., Angew. Chem. Inter. J. 2019



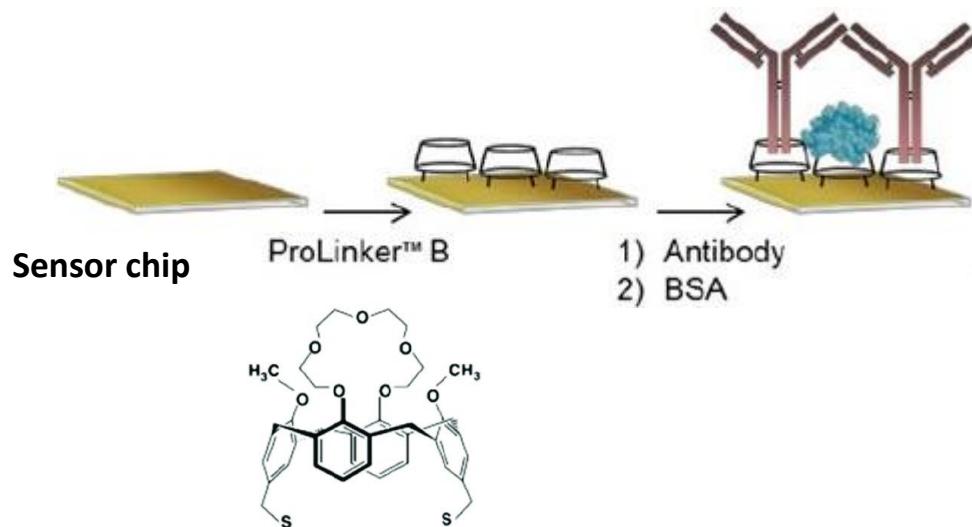
- ☒ Efficient and specific capture of 20-100 CTC in blood with 95% viability, and low non specific adsorption of WBC

# Membrane gold nanoparticles (M-AuNP) and SPR detection

☞ Enhancement of SPR signal with dual-selective CTC detection

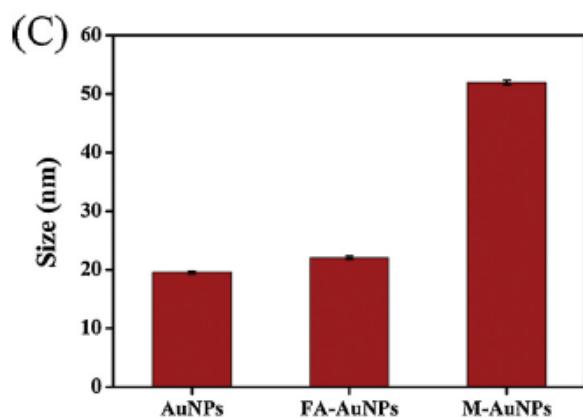
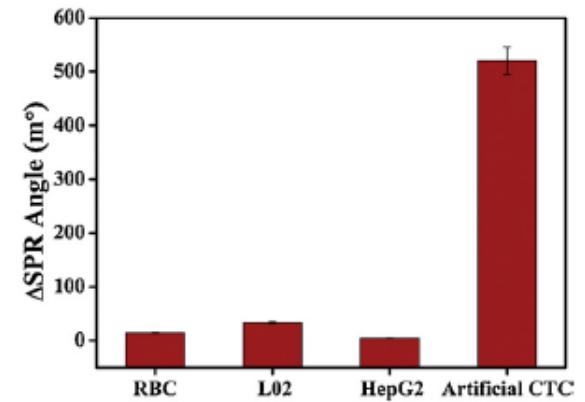
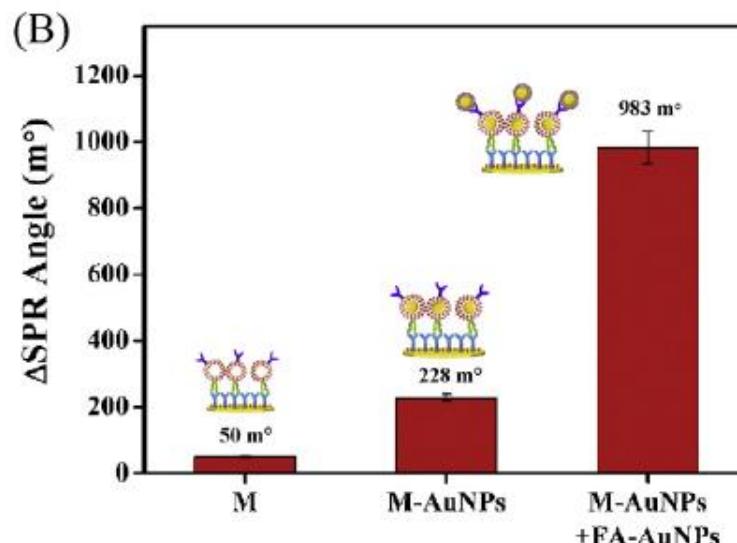
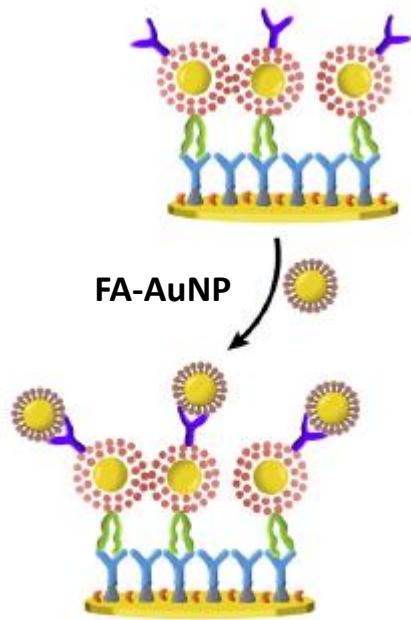


- ☒ Elaboration of M-AuNP
- ☒ Plakoglobin (JUP) is involved in desmosome junctions



- ☒ Oriented immobilisation of the anti-JUP Ab through calixarene derivative interaction

Huang et al., Sensors and Actuators B 2020



- ☒ The combination of JUP/anti-JUP and FA receptor/FA with AuNP increase the specificity of the detection with amplification of the signal
- ☒ Detection limit = 1 CTC/mL

# Conclusion

- ☞ Various methods for isolating, detaching and detecting CTC have been rapidly developed
  - ☒ need to increase specificity, sensitivity and throughput
- ☞ Effective release of CTC from the surface after capture without damaging the cells
  - ☒ enzymatic digestion
  - ☒ aptamer-mediated release
  - ☒ stimuli-responsive polymers
- ☞ Many attractive advantages for microfluidic platform
  - ☒ continuous sample processing, with various functions (size, physical, electrical, marker-specific)
  - ☒ working at the cell dimension
  - ☒ But limitation due to possible clogging and low purity (physical-based), low input volume
- ☞ Need to establish and improve standardized protocols off CTC-related detection methods